

DOCKET NO.: 205200US2PCT/mms



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Yuji UENOHARA, et al.

SERIAL NO: 09/807,963

GROUP NO: 2166

FILED: June 1, 2001

EXAMINER:

FOR: SYSTEM FOR EVALUATING PRICE RISK OF FINANCIAL
DERIVATIVE, DEALING SYSTEM, AND RECORDED MEDIUM

THIRD REQUEST

FIRST OFFICE ACTION STATUS REQUEST

Commissioner for Patents
Alexandria, VA 22313-1450

Sir:

The Patent Office is respectfully requested to inform the undersigned of the approximate date a first Official Action will be issued in this Application.

A duplicate of this letter is enclosed for your convenience.

Respectfully Submitted,

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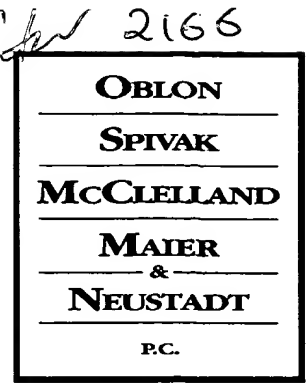
Surinder Sachar

Registration No. 34,423



Docket No.: 205200US2PCT

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313



ATTORNEYS AT LAW

MARVIN J. SPIVAK
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RE: Application Serial No.: 09/807,963
Applicants: Yuji UENOHARA, et al.
Filing Date: June 1, 2001
For: SYSTEM FOR EVALUATING PRICE RISK OF
FINANCIAL DERIVATIVE, DEALING SYSTEM,
AND RECORDED MEDIUM
Group Art Unit: 2166
Examiner:

SIR:

Attached hereto for filing are the following papers:

THIRD STATUS REQUEST FOR FIRST OFFICE ACTION (in duplicate)

Our check in the amount of \$0.00 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



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